IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

 Inventor:
 Willis, et al.
 Docket No.:
 10060.0100

 Serial No.:
 09/844,198
 Examiner:
 Jocelyn Greimel

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Title: Computer-implemented Method and Apparatus for Adjusting the Cost Basis

Apparatus for Adjusting the Cost Basis of a Security

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Commissioner:

This amendment is made in response to the Office Action mailed June 19, 2006.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.